



Marilyn Smith
First Dimensions
Consulting Principal

With more than 25 years of experience in the financial services and wealth management industry, Marilyn shares a commitment to developing positive practices for delivering positive results as a Compliance Risk Management Consulting Principal of First Dimensions Consulting. As a Consulting Principal, Marilyn develops audit, risk and compliance assurance and governance practices for financial services institutions.

Marilyn has directed risk governance activities and served as the fiduciary and investment compliance officer for several banking, registered investment adviser and broker-dealer institutions.

In those roles, Marilyn also served on the various trust department and investment adviser committees, including the Code of Ethics/Conduct, Investment Review, Broker Selection, Proxy Voting and risk Management committees. Marilyn also developed and administered a firm-wide Cannon-endorsed Trust certification programs resulting in several hundred Trust Department personnel obtaining the CSOP (Certified Securities Operations Professional), CFTA (Certified Fiduciary & Trust Auditor) or CFIRS (Certified Fiduciary and Investments Specialist) designations.

Marilyn is an active member of the Fiduciary and Investments Risk Management Association (FIRMA) serving as an editor of the FORUM magazine and is a member of the Global Association of Risk Professionals (GARP), holds the CFIRS designation, and has held SEC series 7, 24, and 66 licenses.